

NiSM



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National Institute of Securities Markets (NISM)

in association with

KKR India Advisors Pvt Limited,  
Aditya Birla Sunlife AMC Limited and  
National Stock Exchange of India Limited (NSE)

Conference on

RECENT CHANGES IN  
LISTING  
&  
INSIDER  
TRADING  
REGULATIONS

TUESDAY,  
MAY 28, 2019  
BKC, Mumbai

## About NISM

National Institute of Securities Markets (NISM) is a premier capacity building institution, established by the Securities and Exchange Board of India (SEBI), the regulator for securities market in India. It is located in Vashi (Navi Mumbai) and Patalganga (Raigad), India. The institute has six schools of eminence viz:

- School for Corporate Governance (SCG)
- School for Investor Education and Financial Literacy (SIEFL)
- School for Certification of Intermediaries (SCI)
- School for Securities Information and Research (SSIR)
- School for Regulatory Studies and Supervision (SRSS)
- School for Securities Education (SSE)

## About School for Corporate Governance (SCG)

Investor confidence in the governance of public interest companies is crucial for development of Capital Markets, which provide finance to economic growth. The School for Corporate Governance (SCG) conducts various programs aimed at improving Corporate Boards' understanding of governance issues and providing them with the tools to implement quality processes such as:

- Ethics and Corporate Governance
- Integrating Environmental, Social and Governance (ESG) Perspective in Investment Decisions
- Research Conferences in the area of Corporate Governance
- Corporate Governance and Board Leadership for First Time Directors
- Directors' Colloquium
- Familiarisation Programs for Directors
- Performance Evaluation of Board of Directors
- Audit Committee and Internal Processes
- Role of Compliance Officers in Securities Market

## Why this Conference

The regulatory environment for listed companies has been undergoing an evolution over the last few years with Securities and Exchange Board of India (SEBI) taking the lead in this area.

One of the important reforms in the listing space is the amendments to SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, which were notified in May 2018, based on the recommendations of Uday Kotak Committee Report on Corporate Governance. Some of the important provisions such as appointment of woman independent director, etc., have already come into effect from April 1, 2019.

SEBI has also made wide-ranging amendments to SEBI (Prohibition of Insider Trading) Regulations, 2015 and these amendments are effective from April 1, 2019. The major reforms include maintenance of a structured database of insiders, separate code of conduct for fiduciaries, etc.

Against this backdrop, National Institute of Securities Markets (NISM) and National Stock Exchange of India Ltd in collaboration with KKR India Advisors Pvt Ltd and Aditya Birla Sunlife AMC Ltd, have organised this Conference.

## Key Speakers

- Shri G. Mahalingam, Whole-Time Member, SEBI
- Dr. M. Thenmozi, Director, NISM
- Mr. Vikaram Limaye, MD & CEO, NSE
- Dr. V. R. Narasimhan, Dean, SCG & SRSS, NISM
- Mr. V. S. Sundaresan, Chief General Manager, SEBI
- Mr. Sanjay Nayar, Chief Executive Officer, KKR India Advisors Pvt Ltd
- Mr. Jayanta Jash, Chief General Manager, SEBI
- Shri A. Balasubramanian, Chairman, AMFI and CEO Aditya Birla Sunlife AMC Ltd
- Mr. Sandeep Parekh, former ED, SEBI

## Who Should Attend

- Compliance officers of listed companies
- Executive/ Non-executive Directors
- Independent Directors

## Venue

R H Patil Auditorium,  
NSE Building, BKC, Mumbai

## Date and Time

May 28, 2019  
4 pm to 8 pm  
(Followed by dinner)

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## Programme Director

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# NISM Campus, Patalganga, Raigad



## NiSM

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